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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA

Wayne Hiatt,	)	No. CV-23-00552-PHX-SPL
	)	
Plaintiff,	)	<b>ORDER</b>
vs.	)	
	)	
Sun City Festival Community	)	
Association Incorporated,	)	
	)	
Defendant.	)	

Several motions are pending before the Court: (1) Plaintiff Wayne Hiatt’s Motion for Hearing or Conference re: Rule 16 Status Conference (Doc. 37); (2) the parties’ Joint Motion for Discovery Dispute Resolution (Doc. 39); (3) Defendant Sun City Festival Community Association Incorporated’s Ex Parte Motion to Disqualify Counsel Dessaulles Law Group (Doc. 42); (4) Defendant’s Ex Parte Motion to Stay Remaining Case Deadlines (Doc. 43); (5) Defendant’s Motion to Seal Document Exhibits 4 and 5 (Doc. 44); (6) Plaintiff’s Motion for Sanctions under Fed. R. Civ. P. 37(c) and (d) (Doc. 47); and (7) Defendant’s Ex Parte Second Motion to Seal Document (Doc. 58). The motions are addressed below.

**I. BACKGROUND**

On March 31, 2023, Plaintiff filed a two-count Complaint against Defendant Sun City Festival Community Association (the “Association” or “Defendant”) alleging discrimination under the Fair Housing Act (“FHA”), 42 U.S.C. §§ 3601, *et seq.*, and the Arizona Fair Housing Act (“Arizona FHA”), A.R.S. § 41-1491.19(B). (Doc. 1). Plaintiff

1 alleges that he is a disabled veteran who suffers from a disability. (Doc. 1 at ¶ 8). Plaintiff  
 2 engages in therapy in the form of woodwork to help reduce the symptoms associated with  
 3 his disability. (*Id.* at ¶ 10). Plaintiff alleges that his garage is the only place where he can  
 4 engage in woodwork. (*Id.*). The Association’s Declaration prohibits open garage doors and  
 5 further provides that garage doors “shall remain closed at all times except when entering  
 6 or exiting the garage.” (Doc. 1 at ¶ 6; Doc. 7 at ¶ 6). Because leaving the garage door closed  
 7 often triggers his disability, however, Plaintiff claims that he needs to keep his garage door  
 8 open while he is woodworking inside of his garage. (Doc. 1 at ¶ 10).

9 In November 2022, Plaintiff sent the Association a request for accommodation  
 10 under the FHA to keep his garage door open while he is woodworking. (*Id.* at ¶ 11). In  
 11 January 2023, the Association’s counsel at the time, Sarah McCue, sent Plaintiff a letter  
 12 denying his accommodation request. (*Id.* at ¶ 17). Plaintiff filed this lawsuit shortly after  
 13 receiving Ms. McCue’s denial letter. (*Id.*).

## 14 **II. DISCUSSION**

### 15 **a. Motions for Sanctions and Rule 16 Status Conference**

16 Federal Rule of Civil Procedure (“Rule”) 37(c)(1) provides the following:

17 If a party fails to provide information or identify a witness as  
 18 required by Rule 26(a) or (e), the party is not allowed to use  
 19 that information or witness to supply evidence on a motion, at  
 20 a hearing, or at a trial, unless the failure was substantially  
 justified or is harmless.

21 The burden to show that the violation of Rule 26(a) is substantially justified or harmless is  
 22 on the party facing sanctions. *Yeti by Molly, Ltd. v. Deckers Outdoor Corp.*, 259 F.3d 1101,  
 23 1107 (9th Cir. 2001); *see also R & R Sails, Inc. v. Ins. Co. of Pa.*, 673 F.3d 1240, 1246 (9th  
 24 Cir. 2012). When evaluating substantial justification and harmlessness, courts often  
 25 consider (1) prejudice or surprise to the other party, (2) the ability of that party to cure the  
 26 prejudice, (3) the likelihood of disruption of trial, and (4) willfulness or bad faith. *Lanard*  
 27 *Toys Ltd. v. Novelty, Inc.*, 375 F. App’x 705, 713 (9th Cir. 2010).

1 This provision is a “self-executing sanction.” Fed. R. Civ. P. 37 advisory  
 2 committee’s note (1993); *see also Merchant v. Corizon Health, Inc.*, 993 F.3d 733, 740  
 3 (9th Cir. 2021) (“Rule 37(c)(1) is an ‘automatic’ sanction that prohibits the use of  
 4 improperly disclosed evidence.”). Still, exclusion of evidence is not a necessary sanction,  
 5 as Rule 37(c)(1) authorizes other sanctions “in addition to or instead of” exclusion. *See*  
 6 *Merchant*, 993 F.3d at 740. “A party facing sanctions . . . bears the burden of showing that  
 7 a sanction other than exclusion is better suited to the circumstances,” and the Court need  
 8 not consider a lesser sanction than exclusion if the party facing sanctions does not move  
 9 for one. *Id.* at 741–42.

10 Rule 30 requires a corporation to designate a deponent sufficiently knowledgeable  
 11 to testify on the corporation’s behalf “about information known or reasonably available to  
 12 the corporation.” Fed. R. Civ. P. 30(b)(6). When a party notices topics for deposition, the  
 13 corporation must “not only produce such number of persons as will satisfy the request, but  
 14 more importantly, prepare them so that they may give complete, knowledge, and binding  
 15 answers on behalf of the corporation.” *Guifu Li v. A Perfect Day Franchise, Inc.*, No. 10–  
 16 CV–01189–LHK, 2011 WL 3895118, at \*2 (N.D. Cal. Aug. 29, 2011) (citation omitted).  
 17 Sanctions are available under Rule 37(d)(1)(A)(i) when “the failure to produce an  
 18 adequately prepared witness pursuant to Rule 30(b)(6) is tantamount to a failure to appear.”  
 19 *JSR Micro, Inc. v. QBE Ins. Corp.*, No. C–09–03044 PJH (EDL), 2010 WL 1957465, at \*2  
 20 (N.D. Cal. May 14, 2010) (citation omitted).

21 In the instant case, Plaintiff moves for sanctions against Defendant for failing to  
 22 timely disclose relevant emails and producing unprepared Rule 30(b)(6) designees. (Doc.  
 23 47 at 1). There is no dispute that Defendant disclosed email communications after the  
 24 discovery deadline. (Doc. 57 at 10). Defendant, however, argues that the untimely  
 25 disclosure was substantially justified and harmless. (*Id.* at 10). The email communications  
 26 revealed discussions between the Association’s board members regarding Plaintiff’s  
 27 request for accommodation. One email, from the Association’s board president, Bruce  
 28 Friedman, described the Association’s garage door rule as “stupid.” (Doc. 64 at 3–4; Doc.

1 57 at 11). In the same email, Mr. Friedman also stated that he did not need a lawyer “to  
2 help [him] grant a reasonable accommodation in this case – as long as [Plaintiff] keeps [the  
3 garage door] closed when he’s not in the garage.” (Doc. 47-1 at 2).

4 The Court finds that any prejudice from the late disclosure of the email  
5 communications is harmless under the *Lanard Toys* factors. First, Defendant argues that  
6 Plaintiff is unable to establish prejudice because the disclosure would not have  
7 fundamentally altered the prosecution of his case. (Doc. 57 at 12). Defendant also argues  
8 that Plaintiff misunderstood Mr. Friedman’s email as referring to Plaintiff’s request for  
9 accommodations as “stupid.” (Doc. 57 at 10). This is not accurate. Plaintiff’s understanding  
10 is that Mr. Friedman considers the garage door rule “stupid,” not Plaintiff’s request for  
11 accommodation. (Doc. 47 at 14; Doc. 64 at 4). Plaintiff argues that Mr. Friedman’s opinion  
12 that the garage door rule is “stupid” supports whether Plaintiff’s request for an  
13 accommodation was reasonable. (Doc. 64 at 4). The Court finds Plaintiff’s argument  
14 convincing. *See* 42 U.S.C. § 3604(f)(3) (“discrimination includes . . . a refusal to make  
15 reasonable accommodations in rules, policies, practices, or services. . .”). Defendant’s  
16 untimely disclosure of the email communications is prejudicial to Plaintiff because he was  
17 not able to depose the board members about these emails. (Doc. 47 at 14–15). But this  
18 prejudice can be cured without disrupting trial which has not been scheduled yet. To cure  
19 this prejudice, the Court will reopen discovery for the limited purpose of allowing Plaintiff  
20 to depose the board members regarding the email communications that were recently  
21 disclosed. Finally, Plaintiff argues that Defendant should be subject to sanctions because it  
22 “has displayed a cavalier, arguably willful, disregard for disclosure and discovery duties in  
23 this case.” (Doc. 47 at 2). However, the Court finds that Defendant’s late disclosure was  
24 not willful or in bad faith. Upon discovering these emails, Defendant provided them to  
25 Plaintiff only nine calendar days after the close of discovery. (Doc. 57 at 2). Accordingly,  
26 the Court denies Plaintiff’s request for additional sanctions.

27 Plaintiff also requests that the Court sanction Defendant for failing to produce  
28 adequate Rule 30(b)(6) deponents. Plaintiff alleges that Defendant’s designees were not

1 prepared for their depositions because they did not recall the information that was  
 2 contained in the email communications that were recently disclosed. (Doc. 47 at 11). The  
 3 Court denies Plaintiff's request for sanctions under Rule 37(d)(1)(A)(i) because the  
 4 designees' failure to answer questions related to the email communications is not  
 5 tantamount to failing to appear. *See, e.g., Watkins v. Infosys*, 724 F. App'x 520 (9th Cir.  
 6 2017) (confirming that a witness who shows up to the deposition and answers questions on  
 7 several topics has not failed to appear and affirming lower court's denial of Rule 37(d)  
 8 sanctions). However, as mentioned earlier, the Court will allow Plaintiff to depose these  
 9 witnesses regarding the email communications that were recently disclosed. Having  
 10 resolved the issues that have arisen due to the late disclosures of the email communications,  
 11 the Court finds that Plaintiff's Request for Rule 16 Status Conference (Doc. 37) is moot.

#### 12 **b. Motion to Reopen Discovery**

13 Defendant moves to stay the remaining case management deadlines pending the  
 14 resolution of its motion to disqualify Plaintiff's counsel. (Doc. 43). Because this Order  
 15 resolves that issue, Defendant's request to stay deadlines is moot. In the alternative,  
 16 Defendant moves to reopen discovery and extend the remaining case management  
 17 deadlines. (*Id.*). The Court will address this request below.

18 Federal Rule of Civil Procedure 16(b) provides that a court may modify a case  
 19 schedule for good cause. Fed. R. Civ. P. 16(b)(4); *see Johnson v. Mammoth Recreations,*  
 20 *Inc.*, 975 F.2d 604, 608 (9th Cir. 1992) (finding that a pretrial scheduling order may be  
 21 modified "upon a showing of good cause."). When assessing a motion to amend a  
 22 scheduling order and reopen discovery, a court considers six factors: (1) whether trial is  
 23 imminent; (2) whether the request is opposed; (3) whether the non-moving party would be  
 24 prejudiced; (4) whether the moving party was diligent in obtaining discovery within the  
 25 guidelines established by the court; (5) the foreseeability of the need for additional  
 26 discovery; and (6) the likelihood that discovery will lead to relevant evidence. *City of*  
 27 *Pomona v. SQM N. Am. Corp.*, 866 F.3d 1060, 1066 (9th Cir. 2017).

28 Here, Defendant requests to reopen discovery to provide Plaintiff with an

1 opportunity to complete additional discovery considering Defendant's untimely disclosed  
 2 email communications. (Doc. 43 at 9–10). As the Court explained above, it will permit  
 3 reopening discovery for this limited purpose. Defendant also requests to reopen discovery  
 4 so that it may obtain Plaintiff's medical records. (*Id.*). Plaintiff objects to this request. (Doc.  
 5 52).

6 The Court finds that the factors weigh in favor of granting Defendant's request. Trial  
 7 is not imminent as it has not been scheduled yet. There is no apparent prejudice to Plaintiff  
 8 because the parties already drafted the medical request form and there is limited discovery  
 9 that is ongoing. Additionally, the parties explained that drafting the medical release form  
 10 took a lot of effort from both parties, and the evidence reflects that Defendant engaged in  
 11 constant communication to finalize the form prior to the discovery deadline. (*See* Docs. 43  
 12 and 52). Thus, the Court finds that Defendant has shown good cause to modify the  
 13 scheduling order to reopen discovery for the limited purposes of allowing Plaintiff to  
 14 depose the board members regarding the email communications that were recently  
 15 disclosed and to allow Defendant to obtain Plaintiff's medical records<sup>1</sup>. Because the Court  
 16 is allowing the parties to reopen discovery, it will also grant Defendant's request to extend  
 17 the deadline for dispositive motions. The parties shall have thirty days to complete  
 18 additional discovery and forty-five days to file any dispositive motions.<sup>2</sup> The Court denies  
 19 any request for attorney's fees.

### 20 c. Motions to Seal Documents

#### 21 i. Exhibits 4 and 5

22 Defendant moves for leave to file under seal Exhibits 4 and 5 to its Motion to  
 23 Disqualify Plaintiff's Counsel. (Doc. 44). Defendant argues that these exhibits contain

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24  
 25 <sup>1</sup> In their briefings, the parties explained that they had constant disagreements about  
 26 the expiration date for the release form. Accordingly, to avoid any foreseeable issues  
 27 pertaining to the release form's expiration date, the Court orders that it shall be valid for  
 28 only 30 days from the date that it is signed by Plaintiff.

<sup>2</sup> The Court notes that Plaintiff has filed his Motion for Partial Summary Judgment  
 (see Doc. 49). In light of this extension, Plaintiff may withdraw the motion and refile it at  
 a later date. If he does not, the Court will issue its ruling in due course.

1 privileged attorney-client information and work product. (Doc. 44 at 2). Plaintiff has no  
 2 objection to the filing of the two exhibits to the extent that they have been properly  
 3 designated, in their entirety, as privileged. (Doc. 53 at 1).

4 Under Arizona attorney-client privilege law, communication between an attorney  
 5 for a corporation or business and an employee of that corporation or business “regarding  
 6 acts or omissions of or information obtained from the employee” is privileged if the  
 7 communication is either: “for the purpose of providing legal advice to the entity or  
 8 employer or to the employee,” or “for the purpose of obtaining information in order to  
 9 provide legal advice to the entity or employer or to the employee....” A.R.S. § 12-2234(B).

10 Similarly, under federal common law:

11 The attorney-client privilege protects confidential  
 12 communications between attorneys and clients, which are  
 13 made for the purpose of giving legal advice. The party  
 14 asserting the attorney-client privilege has the burden of  
 15 establishing the relationship and privileged nature of the  
 16 communication. The attorney-client privilege exists where: (1)  
 17 legal advice of any kind is sought (2) from a professional legal  
 18 adviser in his capacity as such, (3) the communications relating  
 19 to that purpose, (4) made in confidence (5) by the client, (6) are  
 20 at his instance permanently protected (7) from disclosure by  
 21 himself or by the legal adviser, (8) unless the protection be  
 22 waived.

23 *United States v. Richey*, 632 F.3d 559, 566 (9th Cir. 2011) (quotations and citations  
 24 omitted). Here, Exhibit 4<sup>3</sup> is an email chain between the Association and its attorney for  
 25 the purpose of obtaining legal advice and Exhibit 5 is Defense counsel’s notes regarding  
 26 that email chain. (lodged at Doc. 45). Accordingly, the Court grants Defendant’s request  
 27 to file Exhibits 4 and 5 under seal because they contain attorney-client information and  
 28 work product. *See United States v. Richey*, 632 F.3d 559, 567 (9th Cir. 2011) (explaining  
 that work product includes documents prepared by legal counsel in preparation for  
 litigation or trial). Additionally, the Court rejects Plaintiff’s argument that such privilege

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<sup>3</sup> Defendant has labeled the pages in Exhibit 4 as bates SUNFES000502–  
 SUNFES000517.



1 has been waived.<sup>4</sup> *See Home Indemnity Co. v. Lane Powell Moss and Miller*, 43 F.3d 1322,  
2 1326 (9th Cir. 1995).

3 ii. Attachment 1

4 Defendant also moves for leave to file under seal Attachment 1 to the Declaration  
5 of Kimberly Rinehart in Support of the Association’s Response to Plaintiff’s Motion for  
6 Sanctions Under Fed. R. Civ. P. 37(c) and (d). (Doc. 58). Ms. Rinehart is the Association’s  
7 community manager and one of the Association’s 30(b)(6) designees. (Doc. 57-1 at ¶ 2).  
8 Defendant explains that Attachment 1 to Ms. Rinehart’s Declaration is an email  
9 communication between her and her IT department concerning search terms and  
10 custodians. (Doc. 58 at 2). Thus, “out of an abundance of caution,” Defendant seeks leave  
11 to file this attachment under seal because upon its “information and belief” this email  
12 communication contains work product and attorney-client privileged information. (*Id.*).  
13 Plaintiff objects and argue that the Court should deny Defendant’s request “because  
14 Defendant has failed to set forth a clear statement of the facts and legal authority justifying  
15 the filing of the document under seal.” (Doc. 63 at 4).

16 As an initial matter, the parties’ briefings appear to, at times, conflate the work-  
17 product doctrine with the attorney-client privilege. The two concepts are, however, distinct:

18 The work product privilege is very different from the attorney-  
19 client privilege. The attorney-client privilege exists to protect  
20 confidential communications and to protect the attorney-client  
21 relationship and is waived by disclosure of confidential  
22 communications to third parties. The work product privilege,  
23 however, does not exist to protect a confidential relationship  
24 but to promote the adversary system by safeguarding the fruits  
25 of an attorney’s trial preparations from the discovery attempts  
26 of an opponent.

24 *Bickler v. Senior Lifestyle Corp.*, 266 F.R.D. 379, 383–84 (D. Ariz. 2010) (quoting *Shields*

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26 <sup>4</sup> Although Plaintiff does not raise arguments against Defendant’s Motion for Leave  
27 to File Under Seal Exhibits 4 and 5 (*see* Doc. 53), in his response to Defendant’s Motion  
28 to Disqualify Plaintiff’s Counsel, Plaintiff argues that Defendant waived any right to claim  
that bates SUNFES000502–SUNFES000517 (Exhibit 4) is privileged communication.  
(Doc. 54 at 5–8); (*see infra* II.d.).



1 *v. Sturm, Ruger & Co.*, 864 F.2d 379, 382 (5th Cir. 1989)); *see also* *Salvation Army v.*  
 2 *Bryson*, 273 P.3d 656, 659 (Ariz. Ct. App. 2012) (“[The attorney-client privilege and work-  
 3 product doctrine] are distinct and often pertain to different subjects, and their application  
 4 to the same material may produce different results with respect to disclosure.”). Here,  
 5 Attachment 1 is not protected by the attorney-client privilege law because it consists of  
 6 communication between the Association and a third party—not the Association’s legal  
 7 counsel. *See United States v. Ruehle*, 583 F.3d 600, 612 (9th Cir. 2009) (“any voluntary  
 8 disclosure of information to a third party waives the attorney-client privilege, regardless of  
 9 whether such disclosure later turns out to be harmful” (emphasis in original)); *see also*  
 10 A.R.S. § 12-2234(B); *see also Richey*, 632 F.3d at 566.

11 Federal law, not state law, governs the application of the work product doctrine. *See*  
 12 *Bickler*, 266 F.R.D. at 382 (listing cases) (“Unlike the attorney-client privilege, federal law  
 13 governs the application of the work product doctrine in this case.”); *see also City of*  
 14 *Glendale v. Nat’l Union Fire Ins. Co. of Pittsburgh, PA*, No. CV-12-380-PHX-BSB, 2013  
 15 WL 1797308, at \*11 (D. Ariz. Apr. 29, 2013) (“Because the work product doctrine is a  
 16 limitation on discovery, and not an evidentiary privilege, federal law governs its  
 17 application.”). The work-product doctrine “is not a privilege but a qualified immunity  
 18 protecting from discovery documents and tangible things prepared by a party or his  
 19 representative in anticipation of litigation.” *Admiral Ins. Co. v. U.S. Dist. Ct. for the Dist.*  
 20 *of Ariz.*, 881 F.2d 1486, 1494 (9th Cir. 1989) (citing Fed. R. Civ. P. 26(b)(3)). “To qualify  
 21 for work-product protection, documents must: (1) be ‘prepared in anticipation of litigation  
 22 or for trial’ and (2) be prepared ‘by or for another party or by or for that other party’s  
 23 representative.’” *Richey*, 632 F.3d at 567 (quoting *In re Grand Jury Subpoena (Mark*  
 24 *Torf/Torf Env’t Mgmt.)*, 357 F.3d 900, 907 (9th Cir. 2004)). Here, the email communication  
 25 meets the qualifications for work-product protection because it was prepared by the  
 26 Association in preparation of litigation related to this case. Accordingly, the Court grants  
 27 Defendant’s request to file Attachment 1 under seal.

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**d. Motion to Disqualify Counsel**

The right to disqualify counsel is within the discretion of the trial court as an exercise of its inherent powers. *See United States v. Wunsch*, 84 F.3d 1110, 1114 (9th Cir.1996) (noting that district courts have the inherent power to sanction unethical behavior). The Ninth Circuit has confirmed that state law applies in determining whether to disqualify counsel. *In re Cnty. of Los Angeles*, 223 F.3d 990, 995 (9th Cir. 2000). The United States District Court for the District of Arizona has adopted the Arizona Rules of Professional Conduct as its ethical standards. LR Civ 83.2(e); *Research Corp. Techs., Inc. v. Hewlett-Packard Co.*, 936 F.Supp. 697, 700 (D. Ariz. 1996). “Accordingly, this Court applies the Arizona ethical rules when evaluating motions to disqualify counsel.” *Roosevelt Irr. Dist. v. Salt River Project Agr. Imp. & Power Dist.*, 810 F. Supp. 2d 929, 944–45 (D. Ariz. 2011) (listing cases).

The Preamble to the Arizona Rules of Professional Conduct<sup>5</sup> cautions that a violation of an ethical rule “should not itself give rise to a cause of action against a lawyer nor should it create any presumption in such a case that a legal duty has been breached.” Pmbl. ¶ 20, Ariz. R. Prof’l Conduct. The Preamble also provides that violation of an ethical rule “does not necessarily warrant any other nondisciplinary remedy, such as disqualification of a lawyer in pending litigation,” and warns that “the purpose of the Rules can be subverted when they are invoked by opposing parties as procedural weapons.” *Id.* Arizona law reiterates that “[o]nly in extreme circumstances should a party to a lawsuit be allowed to interfere with the attorney-client relationship of his opponent.” *Alexander v. Superior Court*, 685 P.2d 1309, 1313 (Ariz. 1984); *Amparano v. ASARCO, Inc.*, 93 P.3d 1086, 1092 (Ariz. Ct. App. 2004) (“[T]he rules of professional responsibility are for ethical enforcement and are not designed to be used as a means to disqualify counsel. The courts have, of course, looked to the ethical rules for guidance on disqualification issues.”) (citation omitted). As the Ninth Circuit has noted, disqualification motions should be

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<sup>5</sup> The Arizona Rules of Professional Conduct can be found under Rule 42 of the Rules of the Supreme Court of Arizona.

1 subjected to “particularly strict scrutiny” because of their potential for abuse. *Optyl*  
 2 *Eyewear Fashion Int’l Corp. v. Style Cos., Ltd.*, 760 F.2d 1045, 1050 (9th Cir.1985); *see*  
 3 *also Cnty. of L.A.*, 223 F.3d at 996 (“A motion to disqualify a law firm can be a powerful  
 4 litigation tactic to deny an opposing party’s counsel of choice.”). “The moving parties have  
 5 the burden of sufficiently showing why the Court should disqualify an attorney from  
 6 representing its client.” *Roosevelt Irr. Dist.*, 810 F. Supp. 2d at 944–45 (citations omitted).  
 7 Here, Defendant moves to disqualify Plaintiff’s counsel, the Dessauls Law Group,  
 8 because Defendant alleges that Plaintiff’s counsel failed to comply with ER 4.4(b) of the  
 9 Arizona Rules of Professional Conduct.

10 ER 4.4(b) provides:

11 A lawyer who receives a document and knows or reasonably  
 12 should know that the document was inadvertently sent shall  
 13 promptly notify the sender and preserve the status quo for a  
 14 reasonable period of time in order to permit the sender to take  
 15 protective measures.

16 Comment 2 to ER 4.4 explains that if a lawyer knows or reasonably should know that a  
 17 document was sent inadvertently, then ER 4.4 requires the lawyer to stop reading the  
 18 document, to make no use of the document, and to promptly notify the sender in order to  
 19 permit that person to take protective measures.

20 Similarly, Rule 26(b)(5)(B) of the Federal Rules of Civil Procedure addresses  
 21 information produced in discovery that is subject to a claim of privilege. The rule provides:

22 If information produced in discovery is subject to a claim of  
 23 privilege or of protection as trial-preparation material, the party  
 24 making the claim may notify any party that received the  
 25 information of the claim and the basis for it. After being  
 26 notified, a party must promptly return, sequester or destroy the  
 27 specified information and any copies it has; must not use or  
 28 disclose the information until the claim is resolved; must take  
 reasonable steps to retrieve the information if the party  
 disclosed it before being notified; and may promptly present  
 the information to the court under seal for a determination of  
 the claim. The producing party must preserve the information  
 until the claim is resolved.

In *Lawrence*, the defendants produced over 15,000 pages of documents to the

1 plaintiffs which inadvertently included privileged email communications. *Lawrence v.*  
 2 *Dependable Med. Transp. Servs., L.L.C.*, No. 2:13-CV-0417-HRH, 2014 WL 2510628, at  
 3 \*1 (D. Ariz. June 4, 2014). The defense counsel averred that although she reviewed the  
 4 documents beforehand, she not aware of this mistake until the plaintiffs filed a motion for  
 5 partial summary judgment and included the privileged emails as an attached exhibit. *Id.*  
 6 However, when the defense counsel asked the plaintiffs' counsel to comply with ER 4.4  
 7 and return the privileged emails, the plaintiffs' counsel refused. *Id.* The court explained  
 8 that the "defendants' invocation of ER 4.4 was sufficient to trigger plaintiffs' obligation to  
 9 comply with Rule 26(b)(5)(B)." *Id.* at \*2. Accordingly, the court granted the defendants'  
 10 motion to strike the plaintiffs' motion for partial summary judgment because the plaintiffs'  
 11 counsel failed to comply with these rules. *Id.* at \*3.

12 Here, the Court finds that Plaintiff's counsel did not violate ER 4.4 because there is  
 13 not sufficient evidence to show that Plaintiff's counsel knew or reasonably should have  
 14 known they received the email chain inadvertently. Like the defendants in *Lawrence*,  
 15 Defendant inadvertently included a privileged email chain<sup>6</sup> in the production of hundreds  
 16 of pages of documents. (Doc. 42 at 3–4; Doc. 54 at 2). In the instant case, Defendant's  
 17 counsel reviewed and internally identified the email chain as privileged communication.  
 18 (Doc. 42 at 4; Doc. 60 at 2). However, Defendant's counsel never notified Plaintiff's  
 19 counsel of the same. (Doc. 60 at 2). Thereafter, Plaintiff's counsel marked the email chain  
 20 as an exhibit in the Association's designees' depositions and added it to a binder that  
 21 Plaintiff's counsel shared with Defendant's counsel, Plaintiff, and the court reporter before  
 22 the start of the depositions. (Doc. 54 at 2). Defendant's counsel argues that Plaintiff's  
 23 counsel violated ER 4.4(b) because Plaintiff's counsel failed to promptly notify  
 24 Defendant's counsel that they received the email chain inadvertently. (Doc. 42 at 1–2).

25 In response, Plaintiff's counsel aver that they had no time to complete a thorough  
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27 <sup>6</sup> The parties dispute whether the email chain (SUNFES000502–SUNFES000517)  
 28 is protected by the attorney-client privilege law, however, the Court has already addressed  
 this dispute and concluded that it is privileged. (*See supra* II.c.i.).

1 review of these documents because they were produced at the close of the discovery  
2 deadline and less than a week before the 30(b)(6) depositions. (Doc. 54-1 at 5). Plaintiff's  
3 counsel also aver they would not have used the email chain as an exhibit in the deposition  
4 if they had any reason to believe it had been inadvertently produced. (Doc. 54 at 2). This  
5 is consistent with the evidence the parties have shared with this Court. More specifically,  
6 Defendant's counsel explained that she did not become aware of her mistake until after  
7 Plaintiff's counsel had already shared the document and asked several questions about it  
8 during the deposition. (Doc. 42 at 4–5). Once Defendant's counsel raised an objection that  
9 the email chain was privileged, Plaintiff's counsel refrained from asking any further  
10 questions about the document. (Doc. 42 at 5; Doc. 54-1 at 116–118). Accordingly, the  
11 Court finds that Plaintiff's counsel did not know that they received the email chain  
12 inadvertently because they did not review it in any detail before the deposition and they  
13 received no prior notice that it was privileged communication. *See Burch & Cracchiolo,*  
14 *P.A. v. Myers*, 351 P.3d 376, 379 (Ariz. Ct. App. 2015) (disqualifying the attorney because  
15 he reviewed opposing party's entire client file in detail and distributed the file to third  
16 parties despite receiving notice that the file included inadvertently disclosed privilege  
17 information).

18 As for Rule 26(b)(5)(B), Plaintiff's counsel immediately destroyed any copies they  
19 had of the email chain and instructed Plaintiff to do the same. (Doc. 54 at 3, 10). However,  
20 Plaintiff's counsel failed to take reasonable steps to also retrieve the document from the  
21 court reporter. (*See* Doc. 54 at 10). Nevertheless, the Court finds that this failure is not  
22 enough to grant Defendant's request to disqualify Plaintiff's counsel. *See Optyl Eyewear*  
23 *Fashion Int'l Corp.*, 760 F.2d at 1050.

24 The Court also finds that disqualification is not necessary because Plaintiff did not  
25 gain an unfair advantage by receiving this information. *See Burch & Cracchiolo, P.A. v.*  
26 *Myers*, 351 P.3d 376, 379 (Ariz. Ct. App. 2015). Defendant claims that “by sharing the  
27 documents with their client, Plaintiff's Counsel has threatened the integrity of this litigation  
28 and has gained an unfair advantage” because “Plaintiff's Counsel and their client are now

1 aware of privileged information.” (Doc. 60 at 9). Having reviewed the privileged document  
 2 in question, the Court finds that excluding the document sufficiently prevents Plaintiff from  
 3 gaining any unfair advantage. As such, the Court finds that Defendant has not met its high  
 4 burden of sufficiently showing why the Court should disqualify an attorney from  
 5 representing its client. The Court will not entertain Plaintiff’s request for attorney’s fees.  
 6 The Court orders Plaintiff’s counsel to comply with Rule 26(b)(5)(B) and take the steps  
 7 necessary to retrieve the privileged document from the court reporter.

#### 8 **e. Joint Discovery Dispute**

9 Plaintiff seeks to compel the production of materials reviewed by Defendant’s  
 10 30(b)(6) designee, Kim Rinehart, in preparation for her deposition. (Doc. 39). The  
 11 materials are organized in three binders. (*Id.* at 5). Plaintiff’s counsel asserts that during  
 12 Ms. Rinehart’s deposition, Ms. Rinehart repeatedly protested that she did not know or  
 13 recall answers to his questions and needed to review the binders for answers. (*Id.* at 1).  
 14 Thereafter, Plaintiff’s counsel asked Ms. Rinehart to retrieve the three binders, which were  
 15 in Ms. Rinehart’s car, so that he could see the materials she reviewed to prepare for her  
 16 deposition. (*Id.*). The parties paused the deposition and Ms. Rinehart and Defendant’s  
 17 counsel spent approximately twenty minutes reviewing the binders in Ms. Rinehart’s car.  
 18 (*Id.*). Once the parties resumed the deposition, Defendant’s counsel rejected Plaintiff’s  
 19 request to see the binders. (*Id.*).

20 Rule 612 provides that when a witness has used a writing to refresh her memory  
 21 before testifying, the adverse party “is entitled to have the writing produced at the hearing,  
 22 to inspect it, to cross-examine the witness about it, and to introduce in evidence any portion  
 23 that relates to the witness’s testimony,” “if the court decides that justice requires the party  
 24 to have those options.” Fed. R. Evid. 612(a)(2), (b). This rule is not a rule of discovery.  
 25 *Labertew v. Chartis Prop. Cas. Co.*, No. CV-13-1785-PHX-DGC, 2018 WL 1876901, at  
 26 \*5 (D. Ariz. Apr. 19, 2018) (quoting *Sporck v. Peil*, 759 F.2d 312, 317 (3d Cir. 1985)).  
 27 The sole purpose of Rule 612 is to serve as an evidentiary function “to promote the search  
 28 of credibility and memory.” *See id.* Under this rule, “[w]ork product protection can be



1 waived if the documents are put to testimonial use and if the interests of justice require  
2 production.” *Bos. Ret. Sys. v. Uber Techs., Inc.*, No. 19-CV-06361-RS (DMR), 2024 WL  
3 555891, at \*3 (N.D. Cal. Feb. 12, 2024). However, “[t]hree foundational elements must be  
4 met before Rule 612 may result in waiver: (a) the witness must use a writing to refresh his  
5 memory, (b) for the purpose of testifying, and (c) the interests of justice require  
6 production.” *San Diego Unified Port Dist. v. Monsanto Co.*, No. 15-CV-0578-WQH-AGS,  
7 2018 WL 3656298, at \*3 (S.D. Cal. Aug. 2, 2018).

8 Here, Defendant argues that Plaintiff is not entitled to the binders because Plaintiff  
9 fails to meet the elements under Rule 612. (Doc. 39 at 3). First, Defendant explains that  
10 “the review of the binders was not to refresh [Ms. Rinehart’s] recollection.” (*Id.* at 4).  
11 Instead, Defendant claims that Ms. Rinehart reviewed the binders to confirm whether she  
12 followed up on an email Plaintiff’s counsel questioned her about during her deposition.  
13 (*Id.*). Second, the Court is persuaded by Defendant’s argument that Plaintiff “seeks  
14 wholesale disclosure of three binders but fails to identify to which topics the binders relate,  
15 and how the documents in the binders were used to refresh [Ms. Rinehart’s] recollection.”  
16 (*Id.* at 5). Additionally, as explained above, the Court finds that the inadvertently produced  
17 documents are protected by attorney-client privilege and the work product doctrine.  
18 *Labertew*, 2018 WL 1876901, at \*5 (“The privilege is not lost, however, where the witness  
19 reviews his own privileged documents.”).

20 Because the binders were not used to refresh Ms. Rinehart’s memory for the purpose  
21 of her testifying and “[t]he binders are comprised of all discovery produced by both  
22 Parties,” (*Id.* at 4), the Court denies Plaintiff’s request to have the binders produced. *See*  
23 *Corcoran v. CVS Health*, No. 15CV03504YGRJSC, 2016 WL 11565649, at \*4 (N.D. Cal.  
24 Dec. 9, 2016), *on reconsideration*, No. 15CV03504YGRJSC, 2016 WL 11565647 (N.D.  
25 Cal. Dec. 13, 2016) (denying opposing party’s request for access to deposition preparation  
26 materials that were already produced).

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1 Accordingly,

2 **IT IS THEREFORE ORDERED** that Plaintiff's Motion for Hearing or  
3 Conference re: Rule 16 Status Conference (Doc. 37) is **denied as moot**.

4 **IT IS FURTHER ORDERED** that the parties' Joint Motion for Discovery Dispute  
5 Resolution (Doc. 39) is **resolved**.

6 **IT IS FURTHER ORDERED** that Defendant's Ex Parte Motion to Disqualify  
7 Counsel Dessaulles Law Group (Doc. 42) is **denied**.

8 **IT IS FURTHER ORDERED** that Defendant's Ex Parte Motion to Stay  
9 Remaining Case Deadlines (Doc. 43) is **granted in part and denied in part**.

10 **IT IS FURTHER ORDERED** that discovery is reopened for the limited purposes  
11 of allowing Plaintiff to depose the board members regarding the email communications  
12 that were recently disclosed and to allow Defendant to obtain Plaintiff's medical records.  
13 The parties shall have until **July 26, 2024** to complete additional discovery and until  
14 **August 10, 2024** to file any dispositive motions.

15 **IT IS FURTHER ORDERED** that Defendant's Motion to Seal Document Exhibits  
16 4 and 5 (Doc. 44) is **granted**. Defendant shall file a redacted version, if it has not done so  
17 already, by **July 3, 2024**.

18 **IT IS FURTHER ORDERED** that Plaintiff's Motion for Sanctions under Fed. R.  
19 Civ. P. 37(c) and (d) (Doc. 47) is **granted in part and denied in part**.

20 **IT IS FURTHER ORDERED** that Defendant's Ex Parte Second Motion to Seal  
21 Document (Doc. 58) is **granted**. Defendant shall file a redacted version, if it has not done  
22 so already, by **July 3, 2024**.

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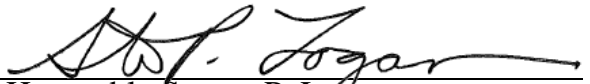
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1           **IT IS FURTHER ORDERED** that the Clerk of Court is directed to file  
2 Defendant's Exhibits 4 and 5 (lodged at Doc. 45) under seal.

3           **IT IS FURTHER ORDERED** that the Clerk of Court is directed to file  
4 Defendant's Email Attachment to Exhibit 1 [57-1] (lodged at Doc. 59) under seal.

5           Dated this 28th day of June, 2024.

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8 Honorable Steven P. Logan  
9 United States District Judge  
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